

DRAFT RSTP and CMAQ Project Detail
as of 07/31/99

Explanation of fields on this report.

District/MPO/ Responsible Agency EA/TIP ID	Trans Date	Code	Transaction Type	STP/DSB/CMAQ Subject to OA	OA Amnt	MA Not Subject to OA
District 03						
MPO: Sacramento Area Council Of Governments (6085)						
Galt (5293)						
	07/31/96	33D-RSTP	Obligation	-212,471		
	07/31/97	33D-RSTP	Obligation	-222		
	10/28/98	33D-RSTP	Obligation	17,170	17,170	
Total Obligated:	-195,522		Total:	-195,522	17,170	0
Total Encumbered:	195,522					
Total Expended:	195,522					
Date of Last Activity:	10/28/98					
9I, FINAL VOUCHER PAID OR STEP 9 NOTIFICATION RECEIVED (PR37).						
West Sacramento (5447)						
STPL-5447(002) Linden Rd/Higgins Rd overlay						
03-451614						
	04/30/94	33C-3067-RSTP	Obligation	-401,926		
	06/30/94	33C-3067-RSTP	Obligation	-62,657		
	08/31/96	33C-3067-RSTP	Obligation	8,406		
Total Obligated:	-456,176		Total:	-456,176	0	0
Total Encumbered:	456,176					
Total Expended:	456,176					
Date of Last Activity:	08/31/96					
9I, FINAL VOUCHER PAID OR STEP 9 NOTIFICATION RECEIVED (PR37).						
STPL-5447(003) In the City of West Sacramento @ various locations						
03-451704 Construct bus shelters						
03YOL10100						
	02/28/98	33C-3067-RSTP	Obligation	-4,582		
	10/09/98	33C-3067-RSTP	Obligation	-39,683	-39,683	
	07/16/99	33C-3067-RSTP	Obligation	-8,853	-8,853	
Total Obligated:	-53,118		Total:	-53,118	-48,536	New
Total Encumbered:	53,118					
Total Expended:	0					
Date of Last Activity:	07/19/99					
4A, PROJECT AUTHORIZATION (INITIATED).						
CML-5447(004) In the City of West Sacramento on West Capitol Ave. from Enterprise Blvd. & Tower Bridge						
03-451464 Construct Class II Bikeway						
03VAR0000						
	11/30/95	320-6103-CMAQ	Obligation	-88,500		
Total Obligated:	-88,500		Total:	-88,500	0	0
Total Encumbered:	99,967					
Total Expended:	99,967					
Date of Last Activity:	01/24/97					
9I, FINAL VOUCHER PAID OR STEP 9 NOTIFICATION RECEIVED (PR37).						
CMLN-5447(005) IN THE CITY OF WEST SACRAMENTO ON SR-84/275/50 INTERCHANGE						
03-451494 SIGNAL UPGRADE, MINOR GEOMETRICS						
03CAL16070						
	12/31/95	320-6103-CMAQ	Obligation	-352,000		
Note: All negative values are a liability against balances.						
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Federal project number -- An identifier used by FHWA and Caltrans for tracking obligations.

EA -- Caltrans accounting identifier used for tracking encumbrances and expenditures.

FTIP/FSTIP identifier -- This data can now be edited by the MPOs/RTPAs using an Internet web browser application. If you are connected to the Internet, click here for more information.

These obligations use use federal apportionment 33C - urbanized area 3067. They also use Obligational Authority (OA).

OA is only available in a current FFY. It does not carry over from year to year the way RSTP/CMAQ apportionment does.

This is why the "-4,582" does not have a corresponding OA transaction. It was obligated in FFY 97/98 using that year's OA. The current FFY is 98/99. Because local agencies have not be able to fully utilize their OA, the Department has encouraged the regions to program up to their apportionment balances - not their OA balances. For now the OA is for information only and not really a control item - YET.

These figures show OA usage for the current Federal Fiscal Year (FFY).

Funds in this column represent obligations that do not use OA. Under ISTEA, Minimum Allocation (MA) funds did not use OA. Obligations of MA under TEA21 will continue to NOT use OA. All new RSTP funds apportioned under TEA21 use OA.

"New" indicates that this obligation transaction is new during the month of the report date.

Obligation vs encumberment vs expenditure:

An obligation merely reserves federal funds at FHWA and authorizes work to proceed. An encumbrance is the reservation of State funds used to actually pay a local agency for reimbursed work. Once the local agency is actually paid (expenditure) the State turns around and invoices FHWA for reimbursement against the obligation. Note that an encumbrance only occurs when a Local Agency requests reimbursement and a State-Local Agency agreement is executed.

"Total Obligated" means the total amount obligated on this project across all programs. Sometimes this dollar amount is greater than the sum of the RSTP/CMAQ obligations shown to the right. This is possible if there are funds obligated on this project other than RSTP/CMAQ such as TEA, HES, SB45 STIP, or HBRRP as examples.

Total encumbered is the total reimbursable dollars available to the local agency. Sometimes this amount is greater than what is obligated if there was additional non-federal funds programmed for this project. Examples would be TSM match, state match for seismic retrofit projects, or SB45 STIP funds.

Total expenditures are those funds actually paid to the local agency out of what has been encumbered for that project.

"Date of Last Activity" represents either the last date of expenditure, encumbrance, obligation, or any adjustment to those funds.

The last line in this group represents the accounting status. When the accounting status is 9I, the project has completed the federal process. Dollar amounts generally do not change (too much) after 7 has been achieved. The only way to confirm the final financing of a project prior to 9I (final voucher) is to discuss the status with the responsible local agency.